

Bloodborne Pathogens

Location:

Audited by:

Date:

Check the box under Y for “yes” or N for “no” to determine if each item is within compliance.

Y N Exposure control plan

- ☐ ☐ 1. Has the employer having an employee(s) with occupational exposure established a written Exposure Control Plan designed to eliminate or minimize employee exposure? 1910.1030(c)(1)(i)
- ☐ ☐ 2. Does the Exposure Control Plan contain the exposure determination required by paragraph (c)(2)? 1910.1030(c)(1)(ii)(A)
- ☐ ☐ 3. Does the Exposure Control Plan contain the schedule and method of implementation for paragraphs (d) Methods of Compliance, (e) HIV and HBV Research Laboratories and Production Facilities, (f) Hepatitis B Vaccination and Post-Exposure Evaluation and Follow-up, (g) Communication of Hazards to Employees, and (h) Recordkeeping, of this standard? 1910.1030(c)(1)(ii)(B)
- ☐ ☐ 4. Does the Exposure Control Plan contain the procedure for the evaluation of circumstances surrounding exposure incidents? 1910.1030(c)(1)(ii)(C)
- ☐ ☐ 5. Does the employer ensure that a copy of the Exposure Control Plan is accessible to employees? 1910.1030(c)(1)(iii)
- ☐ ☐ 6. Is the Exposure Control Plan reviewed and updated at least annually and whenever necessary to reflect new or modified tasks and procedures which affect occupational exposure and to reflect new or revised employee positions with occupational exposure? 1910.1030(c)(1)(iv)
- ☐ ☐ 7. Does the review and update of such plans also reflect changes in technology that eliminate or reduce exposure to bloodborne pathogens? 1910.1030(c)(1)(iv)(A)
- ☐ ☐ 8. Does the review and update of such plans also document annually consideration and implementation of appropriate commercially available and effective safer medical devices designed to eliminate or minimize occupational exposure? 1910.1030(c)(1)(iv)(B)

Y N

- ☐ ☐ 9. Do employers, who are required to establish an Exposure Control Plan, solicit input from non-managerial employees responsible for direct patient care who are potentially exposed to injuries from contaminated sharps in the identification, evaluation, and selection of effective engineering and work practice controls and document the solicitation in the Exposure Control Plan?
- 1910.1030(c)(1)(v)

Exposure determination

- ☐ ☐ 10. Does an employer who has employee(s) with occupational exposure, prepare an exposure determination?
1910.1030(c)(2)(i)
- ☐ ☐ 11. Does this exposure determination contain a list of all job classifications in which all employees in those job classifications have occupational exposure?
1910.1030(c)(2)(i)(A)
- ☐ ☐ 12. Does this exposure determination contain a list of job classifications in which some employees have occupational exposure?
1910.1030(c)(2)(i)(B)
- ☐ ☐ 13. Does this exposure determination contain a list of all tasks and procedures or groups of closely related tasks and procedures in which occupational exposure occurs and that are performed by employees in job classifications that have occupational exposure? 1910.1030(c)(2)(i)(C)
- ☐ ☐ 14. Is the exposure determination made without regard to the use of personal protective equipment? 1910.1030(c)(2)(ii)
- ☐ ☐ 15. Are universal precautions observed to prevent contact with blood or other potentially infectious materials? 1910.1030(d)(1)
- ☐ ☐ 16. Under circumstances in which differentiation between body fluid types is difficult or impossible, are all body fluids considered potentially infectious materials?
1910.1030(d)(1)

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- ☐ ☐ 26. Are contaminated reusable sharps placed in appropriate containers until properly reprocessed immediately or as soon as possible after use?
- ☐ ☐ 27. Are these containers puncture resistant, labeled or color-coded in accordance with this standard, leakproof on the sides and bottom and in accordance with the requirements set forth in paragraph (d)(4)(ii)(E) for reusable sharps?
1910.1030(d)(2)(viii)(A), (d)(2)(viii)(B), (d)(2)(viii)(C) & (d)(2)(viii)(D)
- ☐ ☐ 28. Is eating, drinking, smoking, applying cosmetics or lip balm, and handling contact lenses prohibited in work areas where there is a reasonable likelihood of occupational exposure? 1910.1030(d)(2)(ix)
- ☐ ☐ 29. Is food and drink not kept in refrigerators, freezers, shelves, cabinets or on countertops or benchtops where blood or other potentially infectious materials are present? 1910.1030(d)(2)(x)
- ☐ ☐ 30. Are all procedures involving blood or other potentially infectious materials performed in such a manner as to minimize splashing, spraying, spattering, and generation of droplets of these substances?
1910.1030(d)(2)(xi)
- ☐ ☐ 31. Is mouth pipetting/suctioning of blood or other potentially infectious materials prohibited? 1910.1030(d)(2)(xii)
- ☐ ☐ 32. Are specimens of blood or other potentially infectious materials placed in a container which prevents leakage during collection, handling, processing, storage, transport, or shipping? 1910.1030(d)(2)(xiii)
- ☐ ☐ 33. Is the container for storage, transport, or shipping labeled or color-coded according to paragraph (g)(1)(i) and closed prior to being stored, transported, or shipped?
(Note: If a facility utilizes Universal Precautions in the handling of all specimens, labeling/color-coding of specimens is not necessary if containers are recognizable and remain within the facility.)
1910.1030(d)(2)(xiii)(A)

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- ☐ ☐ 40. Does the employer ensure that the employee uses appropriate personal protective equipment unless the employer shows that the employee temporarily and briefly declined to use personal protective equipment when, under rare and extraordinary circumstances, it was the employee's professional judgment that in the specific instance its use would have prevented the delivery of health care or public safety services or would have posed an increased hazard to the safety of the worker or co-worker? 1910.1030(d)(3)(ii)
- ☐ ☐ 40. When the employee makes this judgement, are the circumstances investigated and documented in order to determine whether changes can be instituted to prevent such occurrences in the future? 1910.1030(d)(3)(ii)
- ☐ ☐ 41. Does the employer ensure that appropriate personal protective equipment in the appropriate sizes is readily accessible at the worksite or is issued to employees? 1910.1030(d)(3)(iii)
- ☐ ☐ 42. Are hypoallergenic gloves, glove liners, powderless gloves, or other similar alternatives readily accessible to those employees who are allergic to the gloves normally provided? 1910.1030(d)(3)(iii)
- ☐ ☐ 43. Does the employer clean, launder, and dispose of personal protective equipment required by paragraphs (d) and (e) of this standard, at no cost to the employee? 1910.1030(d)(3)(iv)
- ☐ ☐ 44. Does the employer repair or replace personal protective equipment as needed to maintain its effectiveness, at no cost to the employee? 1910.1030(d)(3)(v)
- ☐ ☐ 45. If a garment(s) is penetrated by blood or other potentially infectious materials, is the garment(s) removed immediately or as soon as feasible? 1910.1030(d)(3)(vi)
- ☐ ☐ 46. Is all personal protective equipment removed prior to leaving the work area? 1910.1030(d)(3)(vii)

☐ ☐ 39. When there is occupational exposure, does the employer provide, at no cost to the employee, appropriate personal protective equipment such as, but not limited to, gloves, gowns, laboratory coats, face shields or masks and eye protection, and mouthpieces, resuscitation bags, pocket masks, or other ventilation devices?
1910.1030(d)(3)(i)

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- ☐ ☐ 54. Are surgical caps or hoods and/or shoe covers or boots worn in instances when gross contamination can reasonably be anticipated? 1910.1030(d)(3)(xii)

☐ ☐ 55. Do employers ensure that the worksite is maintained in a clean and sanitary condition? 1910.1030(d)(4)(i)

- ☐ ☐ 56. Does the employer determine and implement an appropriate written schedule for cleaning and method of decontamination based upon the location within the facility, type of surface to be cleaned, type of soil present, and tasks or procedures being performed in the area? 1910.1030(d)(4)(i)

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- ☐ ☐ 67. When moving containers of contaminated sharps from the area of use, are they placed in a secondary container if leakage is possible? 1910.1030(d)(4)(iii)(A)(3)(i)
- ☐ ☐ 68. Is the secondary container closable, constructed to contain all contents and prevent leakage during handling, storage, transport, or shipping and labeled or color-coded according to paragraph (g)(1)(i) of this standard?
1910.1030(d)(4)(iii)(A)(3)(ii)(A),
(d)(4)(iii)(A)(3)(ii)(B) &
(d)(4)(iii)(A)(3)(ii)(C)
- ☐ ☐ 69. Are reusable containers not opened, emptied, or cleaned manually or in any other manner which would expose employees to the risk of percutaneous injury?
1910.1030(d)(4)(iii)(A)(4)

Other regulated waste

- ☐ ☐ 70. Is regulated waste placed in containers which are closable, constructed to contain all contents and prevent leakage of fluids during handling, storage, transport or shipping, labeled or color-coded in accordance with paragraph (g)(1)(i) this standard and closed prior to removal to prevent spillage or protrusion of contents during handling, storage, transport, or shipping?
1910.1030(d)(4)(iii)(B)(1)(i),
(d)(4)(iii)(B)(1)(ii), (d)(4)(iii)(B)(1)(iii) &
(d)(4)(iii)(B)(1)(iv)
- ☐ ☐ 71. If outside contamination of the regulated waste container occurs, is it placed in a second container that is closable, constructed to contain all contents and prevent leakage of fluids during handling, storage, transport or shipping, labeled or color-coded in accordance with paragraph (g)(1)(i) of this standard and closed prior to removal to prevent spillage or protrusion of contents during handling, storage, transport, or shipping?
1910.1030(d)(4)(iii)(B)(2)(i),
(d)(4)(iii)(B)(2)(ii), (d)(4)(iii)(B)(2)(iii) &
(d)(4)(iii)(B)(2)(iv)

Notes:

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Y N Hepatitis B vaccine

- ☐ ☐ 79. Does the employer make available the hepatitis B vaccine and vaccination series to all employees who have occupational exposure, and post-exposure evaluation and follow-up to all employees who have had an exposure incident?
1910.1030(f)(1)(i)

☐ ☐ 80. Does the employer ensure that all medical evaluations and procedures including the hepatitis B vaccine and vaccination series and post-exposure evaluation and follow-up, including prophylaxis, are made available at no cost to the employee, at a reasonable time and place, performed by or under the supervision of a licensed physician or by or under the supervision of another licensed healthcare professional and provided according to recommendations of the U.S. Public Health Service current at the time these evaluations and procedures take place, except as specified by paragraph (f)? 1910.1030(f)(1)(ii)(A), (f)(1)(ii)(B), (f)(1)(ii)(C) & (f)(1)(ii)(D)

- ☐ ☐ 81. Does the employer ensure that all laboratory tests are conducted by an accredited laboratory at no cost to the employee?
1910.1030(f)(1)(iii)
- ☐ ☐ 82. Is the hepatitis B vaccination made available after the employee has received the training required in paragraph (g)(2)(vii)(I) and within 10 working days of initial assignment to all employees who have occupational exposure unless the employee has previously received the complete hepatitis B vaccination series, antibody testing has revealed that the employee is immune, or the vaccine is contraindicated for medical reasons? 1910.1030(f)(2)(i)
- ☐ ☐ 83. Does the employer not make participation in a prescreening program a prerequisite for receiving hepatitis B vaccination?
1910.1030(f)(2)(ii)

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1	1/1/2020
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- ☐ ☐ 90. If consent is not obtained, does the employer establish that legally required consent cannot be obtained?
1910.1030(f)(3)(ii)(A)
- ☐ ☐ 91. When the source individual's consent is not required by law, is the source individual's blood, if available, tested and the results documented?
1910.1030(f)(3)(ii)(A)
- ☐ ☐ 92. Are the results of the source individual's testing made available to the exposed employee, and is the employee informed of applicable laws and regulations concerning disclosure of the identity and infectious status of the source individual?
1910.1030(f)(3)(ii)(C)
- ☐ ☐ 93. Is the exposed employee's blood collected as soon as feasible and tested after consent is obtained? 1910.1030(f)(3)(iii)(A)
- ☐ ☐ 94. If the employee consents to baseline blood collection, but does not give consent at that time for HIV serologic testing, is the sample preserved for at least 90 days?
1910.1030(f)(3)(iii)(B)
- ☐ ☐ 95. If, within 90 days of the exposure incident, the employee elects to have the baseline sample tested, is such testing done as soon as feasible? 1910.1030(f)(3)(iii)(B)
- ☐ ☐ 96. Is the employee offered post-exposure prophylaxis, when medically indicated, as recommended by the U.S. Public Health Service, counseling and evaluation of reported illnesses? 1910.1030(f)(3)(iv), (f)(3)(v), (f)(3)(vi) & (f)(4)

Information to healthcare provider

- ☐ ☐ 97. Does the employer ensure that the health-care professional responsible for the employee's Hepatitis B vaccination is provided a copy of this regulation?
1910.1030(f)(4)(i)

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- ☐ ☐ 119. Does the training program include a general explanation of the epidemiology and symptoms of bloodborne diseases?
1910.1030(g)(2)(vii)(B)

- ☐ ☐ 120. Does the training program include an explanation of the modes of transmission of bloodborne pathogens?
1910.1030(g)(2)(vii)(C)

- ☐ ☐ 121. Does the training program include an explanation of the employer's exposure control plan and the means by which the employee can obtain a copy of the written plan? 1910.1030(g)(2)(vii)(D)

- ☐ ☐ 122. Does the training program include an explanation of the appropriate methods for recognizing tasks and other activities that may involve exposure to blood and other potentially infectious materials?
1910.1030(g)(2)(vii)(E)

- ☐ ☐ 123. Does the training program include an explanation of the use and limitations of methods that will prevent or reduce exposure including appropriate engineering controls, work practices, and personal protective equipment?
1910.1030(g)(2)(vii)(F)

- ☐ ☐ 124. Does the training program include information on the types, proper use, location, removal, handling, decontamination and disposal of personal protective equipment? 1910.1030(g)(2)(vii)(G)

- ☐ ☐ 125. Does the training program include an explanation of the basis for selection of personal protective equipment?
1910.1030(g)(2)(vii)(H)

- ☐ ☐ 126. Does the training program include information on the hepatitis B vaccine, including information on its efficacy, safety, method of administration, the benefits of being vaccinated, and that the vaccine and vaccination will be offered free of charge? 1910.1030(g)(2)(vii)(I)

☐ ☐ 111. Does the employer train each employee with occupational exposure in accordance with the requirements of this section?
1910.1030(g)(2)(i)

- ☐ ☐ 112. Is such training provided at no cost to the employee and during working hours?
1910.1030(g)(2)(i)

- ☐ ☐ 113. Does the employer institute a training program and ensure employee participation in the program? 1910.1030(g)(2)(i)

- ☐ ☐ 114. Is training provided at the time of initial assignment to tasks where occupational exposure may take place and at least annually thereafter? 1910.1030(g)(2)(ii), (g)(2)(ii)(A) & (g)(2)(ii)(B)

- ☐ ☐ 115. Is annual training for all employees provided within one year of their previous training? 1910.1030(g)(2)(iv)

- ☐ ☐ 116. Do employers provide additional training when changes such as modification of tasks or procedures or institution of new tasks or procedures affect the employee's occupational exposure? (Note: The additional training may be limited to addressing the new exposures created.)
1910.1030(g)(2)(v)

- ☐ ☐ 117. Is the material used appropriate in content and vocabulary to the educational level, literacy, and language of employees? 1910.1030(g)(2)(vi)

- ☐ ☐ 118. Does the training program include an accessible copy of the regulatory text of this standard and an explanation of its contents? 1910.1030(g)(2)(vii)(A)

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- ☐ ☐ 135. Does the medical records include the name and social security number of the employee, a copy of the employee's hepatitis B vaccination status including the dates of all the hepatitis B vaccinations and any medical records relative to the employee's ability to receive vaccination as required by paragraph (f)(2), a copy of all results of examinations, medical testing, and follow-up procedures as required by paragraph (f)(3), the employer's copy of the healthcare professional's written opinion as required by paragraph (f)(5) and a copy of the information provided to the healthcare professional as required by paragraphs (f)(4)(ii)(B)(C) and (D)?
1910.1030(h)(1)(ii), (h)(1)(ii)(A), (h)(1)(ii)(B), (h)(1)(ii)(C), (h)(1)(ii)(D), (h)(1)(ii)(E)
- ☐ ☐ 136. Does the employer ensure that employee medical records are kept confidential and not disclosed or reported without the employee's expressed written consent to any person within or outside the workplace except as required by this section or as may be required by law?
1910.1030(h)(1)(iii), (h)(1)(iii)(A) & (h)(1)(iii)(B)

- ☐ ☐ 137. Do training records contain the dates of the training sessions, the contents or a summary of the training sessions, the names and qualifications of persons conducting the training and the names and job titles of all persons attending the training sessions?
1910.1030(h)(2)(h)(2)(i), (h)(2)(i)(A), (h)(2)(i)(B), (h)(2)(i)(C) & (h)(2)(i)(D)
- ☐ ☐ 138. Are training records maintained for 3 years from the date on which the training occurred? 1910.1030(h)(2)(ii)
- ☐ ☐ 139. Are all records required to be maintained by this section available upon request to the Assistant Secretary and the Director for examination and copying?
1910.1030(h)(3)(i)

- ☐ ☐ 133. Does the employer establish and maintain an accurate record for each employee with occupational exposure, in accordance with 29 CFR 1910.1020? 1910.1030(h)(1)(i)
- ☐ ☐ 134. Does the employer maintain the medical records for at least the duration of employment plus 30 years in accordance with 29 CFR 1910.1020? 1910.1030(h)(1)(iv)

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